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**The University of Rhode Island Board of Trustees
Institutional Risk and Compliance Committee Meeting
Monday, June 17, 2024 · 3:00 p.m. EDT**

**The University of Rhode Island
Beagle Board Room, Alumni Center
73 Upper College Road, Kingston, RI 02881**

OFFICIAL MINUTES

Institutional Risk and Compliance Committee Vice Chair Matthew Lenz welcomed members of the University of Rhode Island Board of Trustees Institutional Risk and Compliance Committee (IRCC) and asked for a roll call.

Vice Chair Lenz noted that a quorum was present. The meeting was called to order at 3:02 p.m.

The meeting was held in person with Board members participating remotely. Public access to the meeting was available via the YouTube video platform and is available for viewing at <https://web.uri.edu/trustees/meetings/>.

The URI Board of Trustees Institutional Risk and Compliance Committee members participating:

- Mr. Matthew Lenz, Committee Vice Chair (remote)
- Mr. Joseph Formicola (remote)
- Mr. David Martirano (remote)
- Dr. Marc Parlange, President (ex officio, non-voting) (remote)
- Ms. Revaa Goyal (non-voting) (remote)

URI Board of Trustees Institutional Risk and Compliance Committee members not present:

- Mr. Roby Luna
- Mr. David Caprio
- Mr. Michael Grey

URI Board of Trustees member in attendance:

- Ms. Margo Cook, Chair, URI Board of Trustees (remote)

URI President’s Stewardship Council members in attendance:

- Ms. Abby Benson, Vice President for Administration and Finance (remote)
- Ms. Chelsea Berry, Senior Advisor to the President and Chief of Staff (remote)
- Ms. Alyssa Boss, General Counsel (remote)
- Mr. Gabriele Fariello, Interim Chief Information Officer (remote)
- Dr. Barbara Wolfe, Provost and Executive Vice President for Academic Affairs (remote)

URI staff members in attendance:

- Ms. Michelle Curreri, Secretary, Board of Trustees (in person)
- Ms. Kara Larsen, Assistant Vice President for Enterprise Risk Management (remote)
- Ms. Angela Miller, Assistant Vice President of Financial Operations and Controller (remote)
- Dr. Daniel Moos, Assistant to the Vice President for Administration and Finance (remote)
- Ms. Lindsey Nottage, Director of Enterprise Compliance (remote)
- Ms. Lynn Owens, Associate Secretary, Board of Trustees (in person)

Invited Guest:

- Mr. Brian Crowley, Manager, CliftonLarsonAllen LLP (remote)

Vice Chair Lenz acknowledged that the University of Rhode Island occupies the traditional stomping ground of the Narragansett Nation and the Niantic People.

Vice Chair Lenz asked if there were any amendments to the meeting agenda. There were none.

1. APPROVAL OF THE MINUTES

- a. **Minutes of the April 4, 2024, Institutional Risk and Compliance Committee Meeting.** [Enclosure](#)

Committee Vice Chair Lenz called for a motion, and on a motion duly made by Joseph Formicola and seconded by David Martirano, it was

VOTED:	THAT	The URI Board of Trustees Institutional Risk and Compliance Committee approve the minutes of the April 4, 2024, Institutional Risk and Compliance Committee meeting.
	VOTE:	3 members voted in the affirmative and 0 members voted in the negative.
	YEAS:	Matthew Lenz, Joseph Formicola, David Martirano
	NAYS:	0

ABSTAINS: 0

2. DISCUSSION ITEMS

a. Annual Update on Cybersecurity [Enclosure](#)

Vice President Abby Benson introduced Gabriele Fariello, URI's Interim Chief Information Officer, who provided a presentation on cybersecurity at the University. This presentation covered the University's response to a campus-wide cybersecurity incident in February 2024, updates regarding cybersecurity insurance (including a 25% reduction in costs), and other cybersecurity improvements at the University, including new requirements for Single Sign On (SSO) and Multifactor Authentication (MFA) for Google products and eCampus (pending), with the addition of data masking for eCampus. Gabriele Fariello also noted that the University is undertaking a systematic review of code and configurations and IT policies and procedures, in concert with URI's faculty.

b. Review of External Audit Plan [Enclosure](#)

Vice President Benson introduced Brian Crowley, Manager, CliftonLarsonAllen LLP (CLA) who discussed the plan for the external audit, including the scope of services and deliverables, as well as the staffing and planning for the audit and the specifics of risk assessment activities. He also briefly discussed the University's Single Audit, noting that the State of Rhode Island selects the University's major programs for testing. Brian Crowley also discussed recent trends in higher education that inform the audit and risk overall for the University. He also noted that there are only two new Governmental Accounting Standards Board (GASB) Statements, with limited impact for this year, limited changes to auditing standards, and increased reporting requirements with respect to analytics.

c. Enterprise Compliance Program Overview [Enclosure](#)

Vice President Benson introduced Lindsey Nottage, Director of Enterprise Compliance, who provided a presentation on the University's Enterprise Compliance program. This presentation included discussions of the general risk compliance framework for URI, the mission of the Enterprise Compliance Office, and the impact of this office at the University. She discussed in detail the University's compliance program elements, including policies and standards, training, reporting, etc.

d. New Title IX Regulations [Enclosure](#)

Vice President Benson introduced Kara Larsen, Assistant Vice President, Enterprise Risk Management who discussed the recently released Title IX Regulations. Kara Larsen provided a brief overview of the changes to the regulations that require the development of a new and updated policy. Specifically, she discussed the regulations' expanded definition of discrimination, additional protections for pregnant individuals, an increased scope of jurisdiction, changes to mandated reporting, and mandatory training for all employees. She also noted that there are ten states where these regulations have been enjoined, however, the University is moving forward as planned for an August 1, 2024 implementation, including the development of new procedures. Vice President Benson noted that the University has hired a new Title IX Coordinator who will start next week.

3. ACTION ITEM

a. Approval of amendments to the Institutional Risk and Compliance Committee Charter and Calendar, and recommendation to the URI Board of Trustees. [Enclosure](#)

Vice President Benson provided an overview of the proposed changes to the IRCC charter and calendar, which were reviewed by the Committee at their April 4th meeting.

Committee Vice Chair Lenz called for a motion, and on a motion duly made by Joseph Formicola and seconded by David Martirano, it was

VOTED: THAT The URI Board of Trustees Institutional Risk and Compliance Committee approve amendments to the Institutional Risk and Compliance Committee Charter and Committee Calendar, as recommended and presented in the enclosure.

VOTE: 3 members voted in the affirmative and 0 members voted in the negative.

YEAS: Matthew Lenz, Joseph Formicola, David Martirano

NAYS: 0

ABSTAINS: 0

4. ADJOURN

Committee Vice Chair Lenz called for a motion, and on a motion duly made by Joseph Formicola and seconded by David Martirano, it was

VOTED: THAT The URI Board of Trustees Institutional Risk and Compliance Committee adjourn the meeting of June 17, 2024.

VOTE: members voted in the affirmative and 0 members voted in the negative.

YEAS: Matthew Lenz, Joseph Formicola, David Martirano

NAYS: 0

ABSTAINS: 0

The meeting adjourned at 3:53 p.m.

The next meeting of the Institutional Risk and Compliance Committee is tentatively scheduled for September 2024.